



Substitute Form PTO-1449 (Patent & Trademark Office)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09857-062001	Application No. 09/898,515
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Dean Furbush et al.	
		Filing Date July 3, 2001	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AC							
	AD							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
N.A.	AE	SR-NASD-99-53 – Amendment No. 1, NASD, 10/26/1999, 26 pages
↑	AF	SR-NASD-99-53 – Amendment No. 2, NASD, 10/29/1999, 1 page
	AG	SR-NASD-99-53 – Amendment No. 3 and Response to Comments, NASD, 3/22/2000, 39 pages
	AH	SR-NASD-99-53 – Amendment No. 4, NASD, 3/23/2000, 53 pages
	AI	SR-NASD-99-53 – Amendment No. 5 and Second Response to Comments, NASD, 5/16/2000, 35 pages
	AJ	SR-NASD-99-53 – Amendment No. 6, NASD, 7/6/2000, 29 pages
↓	AK	SR-NASD-99-53 – Securities and Exchange Commission, Proposed Rule Change, Amendment No. 8, NASD, 10/20/2000, 123 pages
N.A.	AL	SR-NASD-99-53 – Amendment No. 9, NASD, 1/8/2001, 3 pages

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Examiner Signature <i>N. Subramaniam</i>	Date Considered 6/1/04
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	